

STANLARD FORM 30, JULY 1966
GENERAL SERVICES ADMINISTRATION
FED. PROC. REG. (41 CFR) 1-16.101

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Approved For Release 2001/09/02 by SP00788R001500110012-2			
1. AMENDMENT/MODIFICATION NO. P00002	2. EFFECTIVE DATE 30SEP81	3. REQUISITION/PURCHASE REQUEST NO. 1208/5702/81	4. PROJECT NO. (<i>If applicable</i>)
5. ISSUED BY Virginia Contracting Activity ATTN: RS-Q2 Washington, DC 20301	CODE HIASA7	6. ADMINISTERED BY (<i>If other than block 5</i>) DCASMA San Francisco 1250 Bayhill Drive San Bruno, CA 94066	CODE S0507A
7. CONTRACTOR NAME AND ADDRESS (Street, city, county, state, and ZIP Code) SRI International 333 Ravenswood Avenue Menlo Park, CA 94025	CODE 03652	FACILITY CODE [Redacted]	8. <input type="checkbox"/> AMENDMENT OF SOLICITATION NO. DATED _____ (See block 9) <input checked="" type="checkbox"/> MODIFICATION OF CONTRACT/ORDER NO. <u>MDA903-81-C-0292</u> DATED <u>06APR81</u> (See block 11)
9. THIS BLOCK APPLIES ONLY TO AMENDMENTS OF SOLICITATIONS <input type="checkbox"/> The above numbered solicitation is amended as set forth in block 12. The hour and date specified for receipt of Offers <input type="checkbox"/> is extended, <input type="checkbox"/> is not extended. Offerors must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation, or as amended, by one of the following methods: (a) By signing and returning _____ copies of this amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE ISSUING OFFICE PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If, by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided such telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.			
10. ACCOUNTING AND APPROPRIATION DATA (<i>If required</i>) ACRN: AB 2112020 25-2037 P381321.03250-2572 S18128 2P29 \$189,892.00			
11. THIS BLOCK APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS (a) <input type="checkbox"/> This Change Order is issued pursuant to _____ The Changes set forth in block 12 are made to the above numbered contract/order. (b) <input type="checkbox"/> The above numbered contract/order is modified to reflect the administrative changes (such as changes in paying office, appropriation data, etc.) set forth in block 12. (c) <input checked="" type="checkbox"/> This Supplemental Agreement is entered into pursuant to authority of <u>10 U.S.C. 2304(a)(11)</u> It modifies the above numbered contract as set forth in block 12.			
12. DESCRIPTION OF AMENDMENT/MODIFICATION A. Delete any and all references to Contract No. MDA903-81-C-0292 and substitute therefor Contract No. MDA908-81-C-0004. B. Add the following as task number 2.1.10 to the Statement of Work (Classified) dated 19MAR 81: (U) 2.1.10 Continue development and evaluation of CRV training program. C. Add task numbers 2.1 and 2.2 of the Contractor's technical proposal number ESU 81-60 (Classified), dated 23MAR81, to the Statement of Work (Classified) dated 19MAR81. These tasks shall be renumbered 2.3 and 2.4 respectively. D. Add an additional paragraph or section to the quarterly and final reports specified in paragraph C.2 of the contract to cover the tasks added by this modification. E. Add the following as paragraph H.9 of the contract:			
(continued)			
Except as provided herein, all terms and conditions of the document referenced in block 8, as heretofore changed, remain unchanged and in full force and effect.			
13. <input type="checkbox"/> CONTRACTOR/OFFEROR IS NOT REQUIRED TO SIGN THIS DOCUMENT <input checked="" type="checkbox"/> CONTRACTOR/OFFEROR IS REQUIRED TO SIGN THIS DOCUMENT AND RETURN <u>2</u> COPIES TO ISSUING OFFICE			
14. NAME OF CONTRACTOR/OFFEROR BY <u>Spencer Floyd</u> (Signature of person authorized to sign)		17. UNITED STATES OF AMERICA BY <u>Barry L. McLean</u> (Signature of Contracting Officer)	
15. NAME OF TITLE OF SIGNER (<i>Type or print</i>) Spencer Floyd Director, Approved For Release		16. DATE SIGNED 200104102	18. NAME OF CONTRACTING OFFICER (<i>Type or print</i>) CIARDP9600788R001500110012-2
		19. DATE SIGNED 81SEP29	

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H- 9 USE OF HUMAN SUBJECTS (1978 Aug)

(a) The following definitions are used in this clause:

(1) At risk means that the human subject may be exposed to the possibility of harm - physical, biological, psychological, sociological, or other - as a consequence of an act or omission that goes beyond the application of those established and accepted methods or procedures which are in his best interests, or that increases ordinary risks of daily life, including the recognized risks inherent in his chosen occupation or field of service.

(2) Human Subject means any human being who, knowingly or unknowingly, is subjected to an act or omission, whether at risk or not, the object of which is to contribute to knowledge to be gained as a part of work to be performed under the scope of this contract.

(b) The Contractor, before undertaking to perform any study involving human subjects, whether at risk or not, shall insure that the following minimum conditions are complied with:

(1) The proposed study has been reviewed and approved by a committee meeting the requirements set forth in Chapter 46 of Title 45 of the Code of Federal Regulations.

(2) The number of human subjects used will be kept to the minimum number that will reasonably achieve the required results.

(3) The study must be such as to contribute significantly to scientific knowledge and have reasonable prospects of yielding important results essential to an Army research program.

(4) The study will be conducted only by persons possessing the requisite scientific qualifications. The highest degree of skill and care will be required during all stages of study of persons who conduct or assist in the study.

(5) The human subject will be informed that at any time during the course of his participation he has the right to revoke his consent and withdraw from participation without prejudice to himself.

(6) Participation by subjects will be immediately terminated if it subsequently appears that the risk to the subjects is significantly greater than anticipated at the time review and approval was granted.

(7) There shall be no greater intrusion into the privacy of the human subject than is absolutely necessary for the conduct of the study involved. Except for the submission of reports and other data required by this contract, any information obtained about human subjects as a result of their participation shall be held as confidential as the law allows.

(8) The study will be conducted so as to avoid all unnecessary physical or mental suffering or injury.

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(9) No study will be conducted if there is any inherent reason to believe that death or disabling injury is likely to occur. Sufficient animal or laboratory experiments, or other evaluations, must have been completed to give assurance of acceptable risks prior to the use of human subjects.

(10) The degree of risk to be taken will never exceed that which is justified by the benefit to the subject and/or the humanitarian importance of the knowledge to be gained.

(11) A physician will be responsible for the medical care of subjects. Even if not the project leader, the physician will have authority to terminate the study at any time that he believes death, injury or harm is likely to result.

(12) Proper preparations will be made, and adequate facilities provided, to protect the subject against all foreseeable possibilities of injury, disability, or death. This includes but is not limited to hospitalization and medical treatment as may be required. In addition, all apparatus and instruments necessary to deal with likely emergency situations will be available.

(13) Human subjects will have no physical or mental conditions which will make participation more hazardous for them than it would be for normal healthy persons, unless such condition is a necessary prerequisite for the particular study involved. In any such case, the use of human subjects with such pre-existing conditions must have been specifically described and justified in the scope of the work to be performed under this contract.

(14) The scientifically qualified person conducting the study, and each member of his research team, will be prepared to terminate the subject's participation at any stage if he has reason to believe, in the exercise of the good faith, superior skill, and careful judgment required of him, that continuation is likely to result in injury, disability, or death to the human subject.

(c) The Contractor, before permitting any person to participate as a human subject, whether at risk or not, shall insure that the following minimum conditions are complied with:

(1) Legally effective informed consent will be obtained by adequate and appropriate methods in accordance with the provisions of this clause.

(2) All consent must be voluntary. It must be the knowing consent of the individual or his legally authorized representative, so situated as to be able to exercise free power of choice without there having been any use of force, fraud, deceit, duress, constraint, coercion, or lawful or improper inducement. The elements of information necessary to such consent include:

(i) A fair explanation of the procedures to be followed, and their purposes, including identification of any procedures which are experimental.

(ii) A description of any attendant discomforts or risks reasonably to be anticipated.

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(iii) A description of any benefits reasonably to be anticipated.

(iv) A disclosure of any appropriate alternative procedures that might be advantageous to the subject.

(v) An offer to answer any questions concerning the procedure.

(vi) An instruction that the subject is free to revoke his consent and to discontinue participation at any time without prejudice to himself.

(d) Exculpatory language through which the subject is made to waive, or appear to waive, any of his legal rights, including any release from liability for negligence, is prohibited.

(e) Prior consent by a subject or his legally authorized representative shall be obtained in all cases. Such consent shall be in writing whenever it is reasonably possible to do so. The consent form may be read to the subject or his legally authorized representative, but in any event he or his legally authorized representative must be given adequate opportunity to read it and to ask questions they might have. This consent form should then be signed by the subject or his legally authorized representative and by a witness not directly involved in the study. Oral consent may be used only when it has been specifically described and justified in the scope of the work to be performed under this contract or approved in writing by the contracting officer. When so authorized and used, oral consent is subject to all the same standards as apply to written consent, except that the signature of the subject or his legally authorized representative is not required.

(f) Prior to conduct of the study, the contractor shall submit for approval to the contracting officer a detailed description of the means by which informed consent will be obtained, to include any forms to be used. Upon completion of the study, the contractor will submit to the contracting officer detailed report demonstrating compliance with paragraph (c), to include copies of the written consent if such was obtained.

(g) The Contractor shall not undertake to conduct either the clinical pharmacology or clinical trials of an investigational drug unless this contract contains the clause entitled "Clinical Study of Investigational Drugs."

(h) Prisoners of war will not be used under any circumstances.

F. Add the following as paragraph H.10 of the contract:

H.10 All persons participating as human subjects, as defined by paragraph H.9(a)(2) hereof, shall be known to possess the abilities and qualities which will be observed and analyzed during the conduct of this contract.

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- G. Military security requirements in the performance of contract MDA908-81-C-0004 as modified shall be maintained in accordance with the revised DD Form 254 attached hereto. The highest classification involved in the performance of this contract as modified is TOP SECRET.
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DEPARTMENT OF DEFENSE CONTRACT SECURITY CLASSIFICATION SPECIFICATION			1. THE REQUIREMENTS OF THE DOD INDUSTRIAL SECURITY MANUAL APPLY TO ALL SECURITY ASPECTS OF THIS EFFORT. THE FACILITY CLEARANCE REQUIRED IS: <u>Top Secret</u>				
2. THIS SPECIFICATION IS FOR:		3. CONTRACT NUMBER OR OTHER IDENTIFICATION NUMBER (Prime contracts must be shown for all subcontracts)		4. DATE TO BE COMPLETED (Estimated)	5. THIS SPECIFICATION IS: (See "NOTE" below. If item b or c is "X'd", also enter date for item a)		
X	a. PRIME CONTRACT	a. PRIME CONTRACT NUMBER MDA908-81-C-0004		82APR05	a. ORIGINAL (Complete date in all cases)	DATE 81APR06	
	b. SUBCONTRACT (Use item 15 for subcontracting beyond second tier)	b. FIRST TIER SUBCONTRACT NO.		b. X	b. REVISED (Supersedes all previous specifications)	REVISION NO. 1	DATE 81AUG21
	c. REQUEST FOR BID, REQUEST FOR PROPOSAL OR REQ FOR QUOTATION	c. IDENTIFICATION NUMBER		c. DUE DATE	c. FINAL	DATE	
6. Is this a follow-on contract? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No. If YES, complete the following:							
a. MDA903-81-C-0292		b.		c. Accountability for classified material on preceding contract			
PRECEDING CONTRACT NUMBER		DATE COMPLETED					
<input checked="" type="checkbox"/> Is <input type="checkbox"/> Is not, transferred to this follow-on contract.							
7a. Name, Address & Zip Code of Prime Contractor *			b. FSC Number	c. Name, Address & Zip Code of Cognizant Security Office DCASR Los Angeles, ATTN: Directorate of Industrial Security, 11099 S. La Cienega Blvd., Los Angeles, CA 90045			
8a. Name, Address & Zip Code of First Tier Subcontractor *			b. FSC Number	c. Name, Address & Zip Code of Cognizant Security Office			
9a. Name, Address & Zip Code of Second Tier Subcontractor, or facility associated with IFB, RFP OR RFQ *			b. FSC Number	c. Name, Address & Zip Code of Cognizant Security Office			
* When actual performance is at a location other than that specified, identify such other location in Item 15.							
10a. General identification of the Procurement for which this specification applies S&T Intelligence Study/Analysis					b. DoD AAD Number of Procuring Activity identified in Item 16d.		
c. Are there additional security requirements established in accordance with paragraph I-114 or I-115. ISR? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No. If YES, identify the pertinent contractual documents in Item 15.							
d. Are any elements of this contract outside the inspection responsibility of the cognizant security office? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No. If YES, explain in Item 15 and identify specific areas or elements.							
11. ACCESS REQUIREMENTS			YES	NO	ACCESS REQUIREMENTS (Continued)		
a. Access to Classified Information Only at other contractor/Government activities.			X		j. Access to SENSITIVE COMPARTMENTED INFORMATION.		
b. Receipt of classified documents or other material for reference only (no generation).			X		k. Access to other Special Access Program information (Specify in Item 15).		
c. Receipt and generation of classified documents or other material.			X		l. Access to U. S. classified information outside the U. S. Panama Canal Zone, Puerto Rico, U. S. Possessions and Trust Territories.		
d. Fabrication/Modification/Storage of classified hardware.			X		m. Defense Documentation Center or Defense Information Analysis Center Services may be requested.		
e. Graphic arts services only.			X		n. Classified ADP processing will be involved.		
f. Access to IPO information.			X		o. REMARKS:		
g. Access to RESTRICTED DATA.			X		DOD DIR 5200.17		
h. Access to classified COMSEC information.			X		DOD DIR 5200.1R		
i. Cryptographic Access Authorization required.			X				
12. Refer all questions pertaining to contract security classification specification to the official named below (NORMALLY, thru ACO (Item 16e); EMERGENCY, direct with written record of inquiry and response to ACO) (thru prime contractor for subcontracts).							
a. The classification guidance contained in this specification and attachments referenced herein is complete and adequate.							
b. Typed name, title and signature of program/project manager or other			c. Activity name, address, Zip Code, telephone number and office symbol Deputy Directorate for Scientific and Technical Intelligence SG1J Defense Intelligence Agency ATTN: DT-1A Washington, D.C. 20301 SG1J				
NOTE: Original Specification (Item 5a) is authority for contractors to mark classified information. Revised and Final Specifications (Items 5b and c) are authority for contractors to remark the regraded classified information. Such actions by contractors shall be taken in accordance with the provisions of the Industrial Security Manual.							

13a. Information pertaining to classified contracts or projects, even though such information is considered unclassified, shall not be released for public dissemination except as provided by the Industrial Security Manual (paragraph 5o and Appendix IX).

b. Proposed public releases shall be submitted for approval prior to release Direct Through (Specify):

Public Release of SCI is not Authorized.

to the Directorate For Freedom of Information and Security Review, Office of the Assistant Secretary of Defense (Public Affairs) * for review in accordance with paragraph 5o of the Industrial Security Manual.

* In the case of non-DoD User Agencies, see footnote, paragraph 5o, Industrial Security Manual.

14. Security Classification Specifications for this solicitation/contract are identified below ("X" applicable box(es) and supply attachments as required). Any narrative or classification guide(s) furnished shall be annotated or have information appended to clearly and precisely identify each element of information which requires a classification. When a classification guide is utilized, that portion of the guide(s) pertaining to the specific contractual effort may be extracted and furnished the contractor. When a total guide(s) is utilized, each individual portion of the guide(s) which pertains to the contractual effort shall be clearly identified in Item 14b. The following information must be provided for each item of classified information identified in an extract or guide:

(I) Category of classification. (II) Date or event for declassification or review for declassification, and (III) The date or event for downgrading (if applicable).

The official named in Item 12b, is responsible for furnishing the contractor copies of all guides and changes thereto that are made a part of this specification. Classified information may be attached or furnished under separate cover.

a. A completed narrative is (1) attached, or (2) transmitted under separate cover and made a part of this specification.

b. The following classification guide(s) is made a part of this specification and is (1) attached, or (2) transmitted under separate cover. (List guides under Item 15 or in an attachment by title, reference number and date).

c. Service-type contract/subcontract. (Specify instructions in accordance with ISR/ISM, as appropriate.).

d. "X" only if this is a final specification and Item 6 is a "NO" answer. In response to the contractor's request dated _____ retention of the identified classified material is authorized for a period of _____.

e. Annual review of this DD Form 254 is required. If "X'd", provide date such review is due: _____.

15. Remarks (Whenever possible, illustrate proper classification, declassification, and if applicable, downgrading instructions).

a. This contract requires access to SCI. The Defense Intelligence Agency has exclusive security responsibility for such information released to the contractor or developed under this contract. DIAM 50-5 provides the necessary guidance for physical, personnel and information security measures and is a part of the security specifications for this contract. DCASR is relieved of responsibility for all SCI material or information released to the contractor under this contract.

b. Foreign national employees of the contractor or subcontractor(s) are not authorized access to classified information resulting from or use in the performance of this Contract.

c. The Contractor shall notify the COTR in writing no later than five (5) days after receipt of the contract of the following information regarding the individuals assigned to work on this contract.

- (1) Name
- (2) Date and Place of Birth
- (3) Social Security Number

(SEE CONTINUATION SHEET)

16a. Contract Security Classification Specifications for Subcontracts issuing from this contract will be approved by the Office named in Item 16e below, or by the prime contractor, as authorized. This Contract Security Classification Specification and attachments referenced herein are approved by the User Agency Contracting Officer or his Representative named in Item 16b below.

REQUIRED DISTRIBUTION:

Prime Contractor (Item 7a)

Cognizant Security Office (Item 7c)

Administrative Contracting Office (Item 16e)

Quality Assurance Representative

Subcontractor (Item 8a)

Cognizant Security Office (Item 8c)

Program/Project Manager (Item 12b)

U. S. Activity Responsible for Overseas Security Administration

SG1J

SG1J

b. Name, address and Zip Code of managing official

[REDACTED] USA, Deputy
Asst. Director for Security Services

c.

d.

and Zip Code

Defense Intelligence Agency
The Pentagon, RSS
Washington, D.C. 20301

e. Name, address and Zip Code of Administrative Contracting Office

DCASMA San Francisco

1250 Bayhill Drive

San Bruno, CA 94000

ADDITIONAL DISTRIBUTION:

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: CIA-RDP96-00788R001500110012-2

DD Form 254 (Continued)

(4) Certification of clearance investigation and clearance, date clearance granted, and name of agency granting clearance.

c. Final classification of the information generated under this Contract is the responsibility of DIA (DT-1A). Information generated under this Contract is subject to the guidelines contained in DoD Regulation 5200.1R, dated 2 November 1978, which implements EO 12065 which became effective 1 December 1978. Technical reports classified as high as TOP SECRET SI/SAO will be provided the Contractor by DIA and other DoD activities, and other DoD contractors for use in connection with this Contract. These reports may be retained for the duration of this Contract unless no longer needed. Upon termination of this Contract, the reports shall be returned to the sender (if required) or destroyed in accordance with current security regulations. If these documents are to be retained beyond the ending date of this Contract, written justification must be forwarded to and authorization given by the Contracting Officer.

e. All copies of final documents generated by the Contractor under this Contract will be transmitted to DIA (DT-1A) for dissemination to the Grill Flame Committee. No other distribution is authorized.

f. Use of the ARFCOS system is required.